

74 Rec'd PCT/PTO 14 AUG 1997  
08/18/97 14 AUG 1997

FORM PTO-1390 (REV. 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			EX95001-US  U.S. APPLICATION NO. (If known. See 37 C.F.R. 1.5)

INTERNATIONAL APPLICATION NO. PCT/FR96/00218	INTERNATIONAL FILING DATE 12 February 1996	PRIORITY DATE CLAIMED 14 February 1995
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TITLE OF INVENTION  
MEDICINAL COMBINATION USEFUL FOR IN VIVO EXOGENIC TRANSFECTION AND EXPRESSION  
APPLICANT(S) FOR DO/EO/US

Michel PERRICAUDET, Martin LEE, Lucienne CHATENOU, Hedi HADDADA, Jean-François BACH, and Michelle WEBB

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)), and Power of Attorney, unsigned.
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

CERTIFICATION UNDER 37 CFR 1.10

GB840711938 US

"Express Mail" Mailing Number

14 August 1997  
Date of Deposit

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, Attn. EO/US

Paula L. Dickey  
(Type or print name of person mailing paper)

Paula L. Dickey  
(Signature of person mailing paper)

U.S. APPLICATION NO.  
(If Known, see C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/FR96/00218

EX95001-US

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO.....\$ 910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
.....\$ 700.00

No international preliminary examination fee paid to USPTO  
(37 CFR 1.482)

but international search fee paid to USPTO (37 CFR 1.445(a)(2))..\$ 770.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid USPTO.....\$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 910.00

PTO use only

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate
Total Claims	31 - 20 =	11	X \$ 22.00
Independent Claims	2 - 3 =	0	X \$ 80.00
Multiple dependent claim(s) (if applicable)			+ \$260.00

\$ 242.00

\$ 0.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1152.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

**SUBTOTAL =**

\$ 1152.00

Processing fee of \$130.00 for furnishing the English translation later the [ ] 20 [ ] 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

\$ 1152.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 1152.00

Amount to be  
refunded

\$

charged

\$

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fee is enclosed.

b. ☒ Please charge my Deposit Account No. 18-1982 in the amount of \$1152.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 18-1982. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Signature

Paul F. Fehlner, Ph.D.

Name

35,135

Registration Number

Date 14 August 1997

Michel PERRICAUDET, Martin LEE, Lucienne CHATENAUD, Hedi HADDADA,  
Jean-François BACH and Michelle WEBB

MEDICINAL COMBINATION USEFUL FOR IN VIVO EXOGENIC TRANSFECTION  
AND EXPRESSION

U.S. National Stage of PCT/FR96/00218

**English Translation of the International Application**  
(48 pages text; 4 sheets drawings)

Atty. Docket No. EX95001-US

CERTIFICATE OF MAILING (37 CFR § 1.10)

GB840711938 US  
"Express Mail" Mailing Number

14 August 1997  
Date of Deposit

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Paula L. Dickey  
(Type or print name of person mailing paper)

Paula L. Dickey  
(Signature of person mailing paper)